# REMARKS

Applicants request favorable reconsideration and allowance of this application in view of the foregoing amendments and the following remarks.

Claims 1-52 are pending in this application, with Claims 1, 18, 35, 36, 44, and 52 being independent.

Claims 1-13, 15, 17-30, 32, and 34-52 have been amended. Applicants submit that support for the amendments can be found in the original disclosure and therefore no new matter has been added.

Applicants appreciate the indication that the application is in condition for allowance except for informalities. The claims have been amended to address the Examiner's objections to the claim language. Favorable consideration is requested.

Also, a substitute specification is attached hereto, as required by the Examiner, together with a marked-up copy of the specification showing the amendments. The specification has been amended in view of the Examiner's comments. Applicants submit that no new matter has been added. In particular, the Examiner will note that "is over" has been amended to read --is not over—at page 15, line 19 of the *original* specification.

Applicants submit that one of ordinary skill would readily appreciate from the context that the word "not" was inadvertently omitted, and therefore the correction of this typographical error does not constitute new matter.

In view of the foregoing, Applicants submit that this application is in condition for allowance. Issuance of a Notice of Allowance is respectfully requested.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should be directed to our below-listed address.

Respectfully submitted,

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# MARKED-UP COPY OF SPECIFICATION

# IMAGE PROCESSING APPARATUS, IMAGE PROCESSING METHOD AND A COMPUTER PROGRAM PRODUCT FOR JUDGING WHETHER IMAGE DATA INCLUDE SPECIFIC INFORMATION RELATED TO COPY PROTECTION

# BACKGROUND OF THE INVENTION

# Field of the Invention

This invention relates to an image processing apparatus, an image processing method and a computer program product for judging whether image data include specific information related to copy protection.

# Description of the Related Art

In connection with color copying machines, it has been considered to implement implementing an image recognition processing function to prevent counterfeiting of a copy-prohibited object, such as a banknote or valuable securities has been considered. One drawback of color copying machines is that they are expensive stand-alone devices. More recently, high quality copying has been attained using less expensive computers, and computer peripheral apparatus apparatuses such as a color scanner and a color printer, and image processing software to edit an input and output image. A need has therefore developed[[,]] for using an image recognition processing function[[,]] to prevent counterfeiting of a banknote and valuable securities for an inexpensive color image processing system using a color scanner and printer.

There are some known judging methods for judging whether an original is a copy-prohibited object or not. An original is judged based on a color spectrum distribution of image data (R,G,B data for each pixel)

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generated by scanning the original, and a comparison of the color spectrum distribution with copy-prohibited object data stored in a ROM, or <u>based on</u> a comparison of an image pattern of a part of the original(or the entire original) with a copy-prohibited object pattern stored in a ROM. The result of the judging is an evaluation value as to whether the original is a copy-prohibited object or not.

It is recently possible to make a copy-prohibited object by using a technology called digital watermark. The copy-prohibited object is altered to include information indicating whether the object is a copy-prohibited object. The process of making the copy-prohibited object is as follows. First, second digital information (sub-information) is attached indicating a that the object is copy-prohibited object is attached to digital image data as the first digital information (main-information). Next, a printed document is made from the digital image data with its including the attached second information. Of course, the second digital information indicating a that the object is copyprohibited object can be detected from the digital image data with its attached second digital information, as a characteristic of the digital watermark. It is possible to detect the second digital information indicating a that the object is copy-prohibited object from newly-created image data which is created from the printed document by an image reading apparatus (e.g., a color scanner). This technology is called digital watermark and is increasingly used for prohibiting illegal copying of printed material.

In view of widespread use of computer peripherals to make color copier copies, a judging process chiefly made of software for a copyprohibited object is preferable to a hardware one comprising many electrical circuits. Such a software process, however, uses however, uses too much processing power and takes too long a time to judge whether an image is of a copy-prohibited object or not and to process the image.

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# SUMMARY OF THE INVENTION

An object of the present invention is to address the above situation.

One particular object of the present invention is to provide an image processing apparatus and method and a computer program product that can avoid unnecessary processing and can provide a faster judgment of whether or not an object is copy-prohibited faster.

Another object of the present invention is to provide an image processing apparatus and method and a computer program product that have a new function not known before.

According to one aspect, the present invention, which achieves these objectives, relates to image processing in which an image resolution of a input image data is compared to information of predetermined standard resolution and the image data is judged to determine whether it includes specific information related to copy protection, with wherein whether or not to perform the judging is being controlled not to work based on the basis of the result of the comparing means comparison.

Because the control technique of the present invention controls not to work whether or not to perform the judgment based on the basis of the result of the comparing means comparison, the control technique according to the invention provides an efficient judging processing, since no judgment is performed on low resolution image data which is not so prone to counterfeiting.

The foregoing and still other objects, features and advantages of the present invention will become fully apparent from the following description to be taken in conjunction with the attached drawings.

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# BRIEF DESCRIPTION OF THE DRAWINGS

FIG.	1 is a diagram	which sh	nows the	image p	processing
apparatus of this sug	ggestion inven	tion.			

FIG. 2 is a diagram which shows one embodiment of a <u>an</u> image processing system of the present invention.

FIG. 3 is a block diagram that shows the main portion of FIG. 2.

FIG. 4 is a flow chart which shows the process sequence by using the construction of FIG. 2 and FIG. 3.

FIG. 5 is a flow chart which shows the process sequence of a third embodiment.

FIG. 6 is a flow chart which shows a modification of the process sequence of FIG. 4.

FIG. 7 is a flow chart which shows a modification of the process sequence of FIG. 4.

FIG. 8 is a flow chart which shows a modification of the process sequence of FIG. 5.

FIG. 9 is a flow chart which shows a modification of the process sequence of FIG. 5.

FIG. 10 is a diagram which shows a six sixth embodiment of an the image processing system of the present invention.

FIG. 11 is a block diagram that shows the main portion of FIG. 10.

# DESCRIPTION OF THE PREFERRED EMBODIMENTS

FIG. 1 is a block diagram which shows an image processing apparatus of this suggestion invention.

Input image data control means 110 controls a resolution of an image which is read by image data source 120 as an image scanner. Image

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data generating means 130 generates image data of an image resolution which is controlled by input image data control means 110. Memory 140 stores the image data generated by image data generating means 130. Data attaching means 150, which is controlled by main control means 200 based on the image resolution controlled by input image data control means 110, attaches digital data to the image data stored in memory 140. Image character recognition means 160, which is controlled by main control means 200 based on the image resolution controlled by input image data control means 110, judges whether the image data stored in memory 140 belongs to a copy-prohibited object image.

Recognition information output means 170 outputs a recognition result of the image character recognition means 160. Recognition information receiving means 180 displays the recognition result on a display or the like, and receives the recognition result information and informs the image processing apparatus's user that an original based on said image data is a copyprohibited object image. Image data output means 190 outputs sequentially an output image.

Each function block in FIG. 1 works as has the following description. Image data generating means 130 generates image data of a resolution which is indicated from an indicating means (unshown) of input image data control means 110 and is based on a preset image on image data source 120 and an indication by an a user of the image process processing apparatus. Information of the image resolution indicated by a user of the image process processing apparatus is output to main control means 200 at the same time. Main control means 200 control controls data attaching means 150, and image character recognition means 160 and recognition information output means 170 based on the information of the image resolution output from input image data control means 110.

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(The first embodiment)

Information indicating a that an object is copy-prohibited object image is attached to an original by using a technology called digital watermark in one preferable embodiment of this invention.

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The following is a detailed explanation, which is made with reference to the drawings, of the preferred embodiments of this invention. FIG.2 shows one embodiment of an image processing system of the present invention. Image scanner 1 is an image input apparatus and a personal computer 2 processes image data input by image scanner 1. Cable 3 connects image scanner 1 and personal computer 2 and communicates the image data.

An original of the copy-prohibited object is formed by printing a printing object from the image data to which is with the attached the information indicating that the object is a copy-prohibited object. The digital image data, with its attached information indicating that the object is copy-prohibited object, is made by attaching second digital information indicating that the object is copy-prohibited object to the first digital information which is a source of the printing object. The digital watermark is possible to may be any kind of digital watermark, such such as one attached to a specific frequency of image information as invisible information or visible information but that is difficult for human eyes to see, like yellow dots.

A In an inexpensive color scanner or printer, it is preferable to carry out a counterfeit prevention of a copy-prohibited object less expensive than expensively than in a comparatively expensive color copying machine as stated above. It is an effective answer to judge the copy-prohibited object by using a software process, but the software judging process has a problem related to a process processing speed. Accordingly, the present invention checks the possibility that of whether a printing image based on an original is could be used as a counterfeit, in accordance with image resolution. The possibility is the degree of risk that someone mistakes would mistake the counterfeit for a genuine one.

FIG. 3 is a block diagram that shows the main portion of FIG.

2. CPU 11 is a central processing unit, and RAM 12 is random access memory and ROM 13 is read only memory. Display control unit 14 controls display 15 and operation input unit 16 is a keyboard or a mouse. Connection I/O 17 is used for connecting operation input unit 16 to the image processing system. External memory unit 18 is a hard disk or a memory card etc. Connection I/O 19 is used for connecting external memory unit 18 to the image processing system. Bus 22 20 is used for communication communicating image data or another other data. Image scanner 21 is the same as image scanner 1 in FIG. 2. Connection I/O 22 is used for receiving image data from image scanner 21 and sending control signals to image scanner 21. Interface unit 23 is a communication unit like a network.

FIG. 4 is a flow chart which shows a process sequence based on performing a process of the system shown in blocks of FIG. 2 and FIG. 3. A program which that describes the process sequence of FIG. 4 is stored on in ROM 13 in advance or on in external memory unit 18 and then the program is moved into RAM 12. CPU 11 executes the program to execute perform the process of this embodiment by software processes. The program is preferably a part of a scanner driver.

Reading image resolution, designated by the image system user,

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is stored on in RAM 12 in step 10. In step 20, CPU 11 judges whether the designated image resolution {Rin} is not as high as less than a predetermined first standard resolution {T1}, e.g. 100 dpi [dot/inch]. This process is the first judgment. In the case If the designated image resolution {Rin} is not as high as less than the predetermined first standard resolution {T1}, [[,]]the process flows to step 40. On the other hand, if in the case the designated image resolution {Rin} is as high as the predetermined first standard resolution {T1}, the process flows to step 30. As a result, a judgment of whether which a scanned image is of a copy-prohibited object image does not work is not performed when the scanned image's resolution is not as high as less than a predetermined resolution since an a printout of the scanned image is useless as

a counterfeit. It is <u>fast faster</u> to print an image <u>that when the</u> image resolution is <u>not as high as less than</u> the first standard density <u>since because</u> the judgment of whether the <u>object is copy-prohibited</u> is not executed.

In step 30, CPU 11 judges whether the designated image resolution {Rin} is not as high as less than the predetermined second standard resolution {T2}, e.g. 300 dpi [dot/inch]. This judgment is a second judgment. In the case If the designated image resolution {Rin} is not as high as less than the predetermined second standard resolution {T2}, the process flows to step 41. On the other hand, if in the case the designated image resolution {Rin} is as high as the predetermined second standard resolution {T1}, the process flows to step 60. It can be expected that a counterfeiter who scans a copyprohibited object image designates high resolution scanning. Accordingly, judgment as to whether a scanned image is of a copy-prohibited object image executes when the scanned image is has a high resolution.

In step 40 or 41, CPU 11 judges that the image data of that image resolution is a low risk of counterfeiting and indicates, through the connection I/O 22, that the color image scanner 21 is scanning a an original on the flatbed by at the user's designated image resolution. The image scanner scans the original. The image data based on the original is sent and stored on in RAM 12 through the connection I/O 12. After step 40, the process of FIG. 4 flows to step 90. After step 41, the process of FIG. 4 flows to step 50.

In step 50, the image resolution is not high enough and is not suitable for inputting a vivid copy-prohibited object, but CPU 11 takes measures to prevent a counterfeit of the copy-prohibited object by way of caution. Concretely Specifically, CPU 11 attaches the product's product number of personal computer 2 or color image scanner 1 and the user ID information to the image stored on in RAM 12. The attached information is registered when a driver of the color image scanner 1 is installed. If the image stored on in RAM 12 is printed or is output a to an external apparatus through a network, it is thus possible to identify the person or apparatus that scanned the copy-prohibited object image by using the attached information. The

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attachment is performed by the technology is called of digital watermark. After step 50, the process of FIG. 4 flows to step 90.

In step 60, since there is a possibility of inputting of the copyprohibited object image as a vivid image, CPU 11 judges the that image data of the that image resolution is has a high risk of counterfeiting and performs extraction of digital watermark data attached to the copy-prohibited object image from the image stored on in RAM 12. CPU 11 indicates, through connection I/O 22, to color image scanner 21 scanning a to scan an original on the flatbed by at a lower image resolution than the user's designated one. Color image scanner 21 scans the original by at the lower image resolution. The image data generated by the scanning is sent to RAM 12 through the connection I/O 22. This scanning is carried out by using a well-known function of a color image scanner driver. After step 60, the process of FIG. 4 flows to step 70.

In step 70, CPU 11 judges whether the lower <u>resolution</u> image data generated by the scanning is the <u>of a copy-prohibited object image</u> or not. In the case If CPU 11 judges that the lower <u>resolution</u> image data belongs to the <u>a copy-prohibited object image</u>, the process flows to step 80. On the other hand, if in the case CPU 11 judges that the lower <u>resolution</u> image data is not <u>of a copy-prohibited object image</u>, the next process is step 42. Step 42 is the same as step 40 or 41, and the process flows thereafter to step 90.

In Step 80, the lower <u>resolution</u> image data generated by the scanning is output as a processed image. Concretely <u>Specifically</u>, the lower <u>resolution</u> image data generated by the scanning is output 1) after converting color or changing image size, <u>or/and and/or 2</u>) after attaching any symbol or figure as a processed image. The processed image is not equal to the scanned image data.

It is easy to make the processed image from the image stored on in RAM 12 by working using a well-known image processing program module correspond corresponding to 1) and 2) under CPU 11's control. The processed image is output to external memory unit 18 as an image data file

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through the connection I/O 19. The process terminates when step 80 has finished.

In step 90, the image data scanned in step 42 is output to external memory unit 18 as a <u>an</u> image file through the connection I/O 19, when CPU 11 judges <u>that</u> the lower <u>resolution</u> image data generated by the scanning is not <u>of</u> a copy-prohibited object <del>image</del>. The process terminates when step 90 has finished.

The original as a print document, which is made from the digital image data with attached information indicating a that the object is copy-prohibited object image as a digital watermark, is scanned by color image scanner 21. The judgment of whether the object is copy-prohibited object image in step 80 is performed by extracting the digital watermark from the scanned image data.

In step 80, it is possible to select another process <u>in</u> which the image data generated by the scanned image is not output at all. As a result, the <u>image of the copy-prohibited object image can not cannot</u> be input in the first place. Of course, it may be good to give warning of indicating <u>that</u> the <u>original is copy-prohibited object image is an original</u>. The warning is displayed on the display 15 through display control unit 14. The warning has the advantage to <u>stop of stopping</u> mischief and <u>mistake preventing the mistake of using</u> a copy-prohibited object <u>image</u> for the original.

It is possible to prevent a counterfeiter from reading a copyprohibited object image by high image resolution. Additionally, a process
speed of this embodiment's image processing system which judges whether an
object is a copy-prohibited object image by using software and a CPU is faster
because a judgment of whether the object is copy-prohibited object image is
not performed for an image read with low image resolution. This
embodiment's image processing system does not judge an image read with
middle range resolution because a possibility of reading a copy-prohibited
object image is not high enough, and then the middle range resolution image is

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attached with information. It is thus possible to stop the software judgment from consuming too much time.

# [Second embodiment]

The judgment of a whether an object is copy-prohibited object image, in step 70 of the first embodiment, is performed for using photo electrical converted image data generated from a print object object's added information, using digital water mark, to indicate whether indicating the object is copy-prohibited object image.

For the judgment of a whether an object is copy-prohibited object image, in step 70, it is possible to replace use another method. For example, an original is may be judged based on a color spectrum distribution based on image data (R,G,B data for each pixel) generated by scanning the original and a comparison of the color spectrum distribution with copy-prohibited object data stored in a ROM or a comparison of an image pattern of a part of the original (or the entire original) with a copy-prohibited object pattern stored in a ROM. The result of the judging is an evaluation value as to whether the original is a copy-prohibited object or not. An original is judged by comparing a color spectrum distribution based on image data (R,G,B data for each pixel) generated by scanning the original with a copy-prohibited object data stored a ROM or by comparing an image pattern of a part of the original(or the entire original) with a copy-prohibited object pattern stored a ROM. The result of the comparing is a evaluation value which indicates whether the original is a copy-prohibited object image or not.

In this case, the evaluation value (e.g.,the sum of the absolute value of the comparing result or the correlation between the image data (pattern) and data (pattern) stored <u>in</u> a ROM) is judged on the basis of a predetermined threshold. If the sum of the absolute value of the comparing result is not over the predetermined threshold, the original is judged [[as]] <u>to be</u> a copy-prohibited object <del>image</del>. If the sum of the absolute value of the comparing result is over the predetermined threshold, the original is not judged

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[[as]] to be a copy-prohibited object image. If the correlation is over the predetermined threshold, the original is judged [[as]] to be a copy-prohibited object image. If the correlation is not over the predetermined threshold, the original is not judged [[as]] to be a copy-prohibited object image.

# [Third embodiment]

In the first embodiment and second embodiment, if an original image is not judged as a copy-prohibited object image, the original image is output without information attached to the original image. It is possible to attach information in to the original image in step 51, which is the same as step 50[[,]] and performed after step 42. A flowchart of this process is shown in FIG. 5. FIG. 5 and FIG. 3 4 are the same except for step 51.

# [Fourth embodiment]

In step 30 of the first, second and third embodiment embodiments, if the user-designated image resolution is not as high as less than the predetermined second standard resolution, the specific data is attached to the image data in step 50. It is possible to replace step 50 with step 71, which is the same as step 70, or to perform both step 50 and step 71.

FIG. 6 is a flowchart which performs the <u>first</u> above-mentioned change to FIG. 4. In step 71, after reading an image in step 41, a judgment of a <u>whether an object is copy-prohibited object</u> is performed. In the case <u>If CPU</u> 11 judges that the image is <u>of</u> a copy-prohibited object <del>image</del>, the process flows to step 80. On the other hand, <u>if in the case CPU 11</u> judges that the image is not <u>of</u> a copy-prohibited object <del>image</del>, the next process flows to step 90. The rest of the steps in FIG. 6 are the same as <u>in FIG. 4</u>.

FIG. 7 is a flowchart which performs the alternate other change mentioned above for Fig. 4. In step 71, after being attached with data in step 50, a judgment of a whether the object is copy-prohibited object is performed like in step 70. In the case If CPU 11 judges that a read image is of a copy-prohibited object image, the process flows to step 80. On the other hand, if in

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the case CPU 11 judges that the image doesn't is not of a copy-prohibited object image, the process flows to step 90. It is possible to reduce process speed without by not first reading the image by at low resolution, because the image resolution is already somewhat lower to begin with in this case. The rest of the steps in FIG. 7 are the same as FIG. 4.

FIG. 8 is a flowchart which performs the <u>first</u> above-mentioned change to FIG. 5. In step 71, after reading an image in step 41, a judgment of a <u>whether an object is</u> copy-prohibited <del>object</del> is performed. In the case <u>If</u> CPU 11 judges that the image is <u>of</u> a copy-prohibited object <del>image</del>, the next process is step 80. On the other hand, <u>if</u> in the case CPU 11 judges that the image is not <u>of</u> a copy-prohibited object <del>image</del>, the process flows to step 90. The rest of the steps in FIG. 8 are the same as <u>in</u> FIG. 5.

FIG. 9 is a flowchart which performs the other above-mentioned change to FIG. 5. In step 71, after being attached with data in step 50, a judgment of a whether the object is copy-prohibited object is performed like in step 70. In the case If CPU 11 judges that a read image is of a copy-prohibited object image, the process flows to step 80. On the other hand, if in the case CPU 11 judges that the image is not of a copy-prohibited object image, the process flows to step 90. The rest of the steps in FIG. 9 are the same as in FIG. 5.

# [Fifth embodiment]

The above-mentioned process of attaching data or judging a whether an object is copy-prohibited object is performed for color scanned image data on the basis of based on user-designated image resolution. The process is not only performed for the color scanned image data, but also for other input image data. The other image input data is input from interface unit 23 shown in FIG. 3 as communication means like a network or a detachable storage medium (e.g., smart media, compact flash, magnetic optical disk) which is connected to a un-shown drive unit of the image process system in FIG. 3 and stores color image data. The attached information, in step 50, is

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the network address of the sender apparatus which send that sent the image data through interface unit 23 or the number of the detachable memory in the abovementioned case.

The above-mentioned judging program of for determining if an object is copy-prohibited object is included in a communication program (ex. Internet browser soft ware) or a program for obtaining image data from a storage medium.

CPU 11 controls the input image resolution information of stored image data in step 10 in this case. Image data stored on the detachable memory being attached to the un-shown drive unit is read through an I/O unit, which is connected the drive unit of the detachable memory. The image resolution information in step 10 and the image data in step steps 40, 41 and 42 may be input through an interface unit connected to a network like the Internet.

# [Sixth embodiment]

Image data including the processed image data and the scanned image data is output as an image data file to external memory unit 18 (a hard disk of this image processing system) through the connection I/O 19. However, image data may be output to a color printer or an external apparatus through a network and an interface unit. In this case, the information attached attached information in step 50 is a product number or a network address of a send side apparatus or a receive side apparatus. In this embodiment, a copy-prohibited object judging program includes a program for using communication through a network or printer driver.

FIG. 10 indicates a <u>an</u> example of a <u>an</u> image processing system of this embodiment. Image scanner 101 is an image input apparatus and a personal computer 102 processes image data and outputs processed image data to color printer 104 as a <u>an</u> output apparatus.

FIG. 11 is a block diagram that shows the main portion of FIG. 10. Connection I/O 125 25 connects to an image output apparatus like a color

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printer. Other components of FIG. 11 are the same as <u>in FIG. 3</u>. In this case, image output in <u>step steps</u> 80 and 90 in FIGS. 4, 5, 6, 7, 8 and 9 are <u>is</u> replaced with image output to a color printer 24 connected through I/O 25. Image data in <u>step steps</u> 80, 90 may be output through an interface unit connected to a network like the Internet.

# [Seventh embodiment]

When the color printer outputs an object image in the sixth embodiment, there information is attached information attached to the object image by using the digital watermark method from in step 50 and step 51. However, It it is possible to attach information like a serial number in a printed image as unknown color information for an image processing system user.

# (Other embodiments)

The object of the present invention can also be achieved by providing a storage medium that stores storing program codes for performing the aforesaid processes to a system or an apparatus, reading the program codes with a computer (e.g., CPU, MPU) of the system or apparatus from the storage medium, and then executing the program.

In this case, the program codes read from the storage medium realize the functions according to the <u>above-mentioned</u> embodiments, and the storage medium storing the program codes constitutes the invention.

Further, the storage medium, such as a floppy disk, a hard disk, an optical disk, a magneto-optical disk, CD-ROM, CD-R, a magnetic tape, a non-volatile type memory card, and ROM can be used for providing the program codes.

Furthermore, besides the aforesaid functions according to the above embodiments are being realized by executing the program codes which are read by a computer, the present invention includes a case where an OS (Operating System) or the like working on the computer performs a part or

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entire processes in accordance with designations of the program codes and realizes functions according to the above embodiments.

The image processing system judges a risk of a read image being used for <u>as</u> a forgery on the <u>basis of based on</u> a user's indicated image reading resolution and reduces a process <u>time</u> for prohibiting forgery which is usually performed for every image even when there is little risk.

As a result, a process of calculation by using a software for prohibiting forgery is efficient and it is possible to be faster than a increase the overall process speed of the image process processing system totally.

# **ABSTRACT**

The present invention, which achieves these objectives, relates to image processing in which an image resolution of a input image data is compared to information of predetermined standard resolution, and the image data is judged to determine whether it includes specific information related to copy protection, with protection. Whether or not to perform judging being is controlled based not to work on the basis of the result of the comparing means comparison. Because the control technique of the present invention controls not to work whether or not to perform the judgment based on the basis of the result of the comparing means comparison, the control technique according to the invention provides an efficient judging processing, since no judgment is performed on low resolution image data which is not so prone to counterfeiting.

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